

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jörg SORG et al.

Serial No.:

10/519,347

Filed: June 20, 2004

For:

Surface-Mountable Light-Emitting Diode and/or Photodiode and Method for the Production

thereof

Examiner: Group Art:

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March 20, 2007 Date of Signature

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a.

Also enclosed is a copy of the Examination Report issued in the corresponding Chinese application.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information

Disclosure Statement should not be construed as a representation that a search has been made or

that information cited is, or is considered to be, material to patentability as defined in §1.56(b),

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indicated, the date of publication indicated for an item is taken from the face of the item and

Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the

application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-

2412.

Respectfully submitted,

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Dated: March 20, 2007

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Substitute for	bstitute for Form 1449/PTO MAR 2 3 2007				Complete if Known		
	1	2	•	[يّ	Application Number	10/519,347	
INFORMATION DISCUSSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					Filing Date	June 20, 2004	
					First Named Inventor	Jörg SORG	
					Art Unit	2811	
	(000 00	, 5		,	Examiner Name		
Sheet	1	of	1		Attorney Docket Number	5367-144PUS	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind-Code ^{2 (if} known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,882,949	03-16-1999	Okazaki	
		US-			-
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³⁻ Number ⁴⁻ Kind-Code ^{5 (if known}				
	•					
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.